



# On the Approximation of Spatial Structures of Global Tidal Magnetic Field Models

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**Abstract.** The extraction of the magnetic signal induced by the oceanic M2 tide is typically based solely on the temporal periodicity of the signal. Here, we propose a system of tailored trial functions that additionally takes the spatial constraint into account that the sources of the signal are localized within the oceans. This construction requires knowledge of the underlying conductivity model but not of the inducing tidal current velocity. Approximations of existing tidal magnetic field models with these trial functions and comparisons with approximations based on other localized and non-localized trial functions are illustrated.

## 1 Introduction

Conductive sea water moving through the ambient Earth's main magnetic field  $\mathbf{B}_{\text{main}}$  induces a secondary magnetic field  $\mathbf{B}_{\text{oc}}$ . Due to their periodic nature, magnetic signals generated by ocean tides are particularly easy to detect and have been studied in observatory data as early as, e.g., Malin (1970). However, the extraction of global models for magnetic fields induced by the dominating M2 tide from satellite data has become possible only recently (e.g., Sabaka et al. (2015, 2016); Tyler et al. (2003)). Although the used extraction procedures are solely based on the temporal periodicity of the tidal signal (and not on further information on the spatial localization of the sources), they seem, by visual inspection, to coincide very well with results obtained by forward models such as in Kuvshinov and Olsen (2005). A more extensive comparison of forward models of electromagnetic ocean tidal signals based on different ocean tide models has recently been published in Saynisch et al. (2018). In that work, it was shown that the residuals between the different models can exceed the nominal noise level of the Swarm satellite mission. The ability to extract M2 tidal magnetic field signals in satellite data more precisely can therefore help in constraining ocean tide models. In Grayver et al. (2016); Schnepf et al. (2015) it has been shown that an M2 tidal magnetic field model can also be used to constrain 1-D models of the Earth's mantle conductivity, and forward studies in Irrgang et al. (2016) have shown that lateral variations in the conductivity of the ocean water itself should have a detectable influence on the measured magnetic field (although the latter study was performed for general ocean circulation and not for tidal current systems).



In this short paper, we want to illustrate the effects that different (spatially localized) sets of trial functions can have on the approximation of the magnetic field induced by the M2 tide in the first place. For that purpose, we apply a greedy algorithm for these sets of trial functions to two different M2 tidal magnetic field models.

In particular, we describe a possible setup for the inclusion of spatial localization constraints (in addition to the constraint of temporal periodicity) for the approximation of ocean tide induced magnetic fields. Clearly, the velocity field  $\mathbf{u}$  that is responsible for the generation of the corresponding secondary magnetic field  $\mathbf{B}_{oc}$  vanishes over the continents. The precise connection between  $\mathbf{u}$  and  $\mathbf{B}_{oc}$  is given by the time-harmonic Maxwell equations

$$\begin{aligned}\nabla \times \mathbf{B}_{oc} &= \mu_0 \sigma (\mathbf{E} + \mathbf{u} \times \mathbf{B}_{main}), \\ \nabla \times \mathbf{E} &= i\omega \mathbf{B}_{oc},\end{aligned}\tag{1}$$

$$\nabla \cdot \mathbf{B}_{oc} = 0,$$

where we assume to have knowledge of  $\mathbf{B}_{main}$ , the underlying conductivity  $\sigma$ , and the frequency  $\omega$ . Instead of using a fixed velocity field  $\mathbf{u}$ , we substitute it by a set of functions  $\{\mathbf{u}_\ell\}_{\ell=1,\dots,L}$  (e.g., vectorial Slepian functions as in Plattner and Simons (2014, 2015) that are localized over the oceans) to obtain a set of corresponding trial functions  $\{\mathbf{B}_\ell\}_{\ell=1,\dots,L}$  that each solve (1). The latter set serves as a foundation for the approximation of  $\mathbf{B}_{oc}$  and reflects the spatial localization of the sources of the induced magnetic signal in the oceans. Thus, a magnetic field model that is based on an expansion of the signal in terms of the function system  $\{\mathbf{B}_\ell\}_{\ell=1,\dots,L}$  automatically reflects the spatial origin of the signal as well as its temporal periodicity (described by the frequency  $\omega$ ). Additionally, due to the linear connection between  $\mathbf{u}$  and  $\mathbf{B}_{oc}$ , an approximation of  $\mathbf{B}_{oc}$  directly yields an approximation of the underlying tidal current velocity  $\mathbf{u}$  in terms of the functions  $\{\mathbf{u}_\ell\}_{\ell=1,\dots,L}$ . However, a model of the underlying conductivity  $\sigma$  has to be assumed for the construction of the  $\mathbf{B}_\ell$ . A detailed description is provided in Section 2.

In Section 3, we illustrate our approach with input data derived from the (satellite and observatory data based) CM5 model of Sabaka et al. (2015) and from data derived from a forward model based on the X3DG solver from Kuvshinov (2008). We approximate these input data sets separately in terms of time-periodic vector spherical harmonics, a system of spatially localized trial functions that contains no particular information on the underlying sources (in our case, Abel-Poisson kernels), and the new set of trial functions indicated in the previous paragraph, respectively. The residuals with respect to the input data show that the use of the function system  $\{\mathbf{B}_\ell\}_{\ell=1,\dots,L}$  successfully filters out undesired contributions to the M2 tidal magnetic field over the continents, without neglecting data over the continents. These residuals can reach up to 15% of the maximal signal strength and have a magnitude that should be detectable at satellite altitude.

## 2 Method and Function Systems

Given a so-called dictionary  $\mathcal{D}$  of trial functions, we use the Regularized (Orthogonal) Functional Matching Pursuit (cf. Fischer and Michel (2013); Michel and Telschow (2014, 2016) for details) for the approximation of  $\mathbf{B}_{oc}$ . Shortly speaking, this is a



greedy-type algorithm that yields an approximation

$$\bar{\mathbf{B}}_N = \sum_{i=1}^N \alpha_i \mathbf{d}_i$$

of  $\mathbf{B}_{oc}$  by iteratively choosing coefficients  $\alpha_i \in \mathbb{R}$  and dictionary elements  $\mathbf{d}_i \in \mathcal{D}$  via

$$\underset{\alpha \in \mathbb{R}, \mathbf{d} \in \mathcal{D}}{\operatorname{argmin}} \left( \|\mathbf{R}_{i-1} - \alpha \mathcal{F} \mathbf{d}\|_{\mathbb{R}^M}^2 + \lambda \|\bar{\mathbf{B}}_{i-1} + \alpha \mathbf{d}\|_{\mathcal{H}}^2 \right). \quad (2)$$

- 5  $\mathbf{R}_{i-1} = \mathbf{b} - \mathcal{F} \bar{\mathbf{B}}_{i-1}$  denotes the residual between the data  $\mathbf{b} \in \mathbb{R}^M$  and the approximation after  $i-1$  iterations. In this particular setup,  $\mathcal{F}$  represents the linear operator that evaluates a function at the  $M$  locations where data is provided, and  $\mathcal{H}$  is a suitable Hilbert space for the regularization of the problem. The Regularized (Orthogonal) Functional Matching Pursuit, in general, has the advantage that it can easily deal with different dictionaries  $\mathcal{D}$  (or combinations of such) from which the approximant  $\bar{\mathbf{B}}_N$  is built. However, any other approximation method could be used as well with the proposed function systems.
- 10 In the following, we briefly introduce some basic function systems that can be used for the constitution of  $\mathcal{D}$ . In particular, Section 2.4 describes in more detail the already mentioned trial functions  $\{\mathbf{B}_\ell\}_{\ell=1,\dots,L}$  that contain temporal and spatial constraints tailored for ocean tide induced magnetic fields.

## 2.1 Vector Spherical Harmonics

- We briefly recapitulate the notion of classical vector spherical harmonics in a form that we need at a few occasions later
- 15 on. By  $\mathbb{S}_r = \{x \in \mathbb{R}^3 : |x| = r\}$ , we denote the sphere of radius  $r$ , while  $\mathbb{S} = \mathbb{S}_1$  stands short for the unit sphere. Every unit vector  $\xi = \xi(t, \varphi) \in \mathbb{S}$  can be expressed in spherical coordinates with longitude  $\varphi$  and polar distance  $t = \cos(\vartheta)$ , where  $\vartheta$  is the corresponding co-latitude. By  $Y_{n,k}$ , we denote fully normalized spherical harmonics of degree  $n$  and order  $k$ : for every  $n \in \mathbb{N}_0$  and  $k = -n, \dots, n$ ,

$$Y_{n,k}(\xi) = \sqrt{\frac{2n+1}{4\pi} \frac{(n-|k|)!}{(n+|k|)!}} P_n^{|k|}(t) \begin{cases} \sqrt{2} \cos(k\varphi), & k < 0, \\ 1, & k = 0, \\ \sqrt{2} \sin(k\varphi), & k > 0. \end{cases}$$

- 20 The involved associated Legendre functions (ALFs) are, for  $t \in [-1, 1]$ , defined as

$$P_n^k(t) = \frac{(-1)^k}{2^n n!} (1-t^2)^{k/2} \left( \frac{d}{dt} \right)^{n+k} (t^2-1)^n.$$

If  $f$  is a scalar-valued square-integrable function on  $\mathbb{S}$ , then, for every degree  $n \in \mathbb{N}_0$  and order  $k = -n, \dots, n$ , the values

$$\hat{f}_{(n,k)} = \int_{\mathbb{S}} f(\eta) Y_{n,k}(\eta) dS(\eta) \quad (3)$$

are called the Fourier coefficients of the function  $f$ .

- 25 Going over to the vectorial setting, it is well-known that every square-integrable vector field  $\mathbf{f}$  on the unit sphere can be uniquely decomposed into its radial and two tangential components such that

$$\mathbf{f} = \mathbf{e}_r f_1 + \nabla_{\mathbb{S}} f_2 + \mathbf{L}_{\mathbb{S}} f_3$$



with scalar-valued functions  $f_1, f_2, f_3$  and the radial unit vector  $\mathbf{e}_r = (\sqrt{1-t^2}\cos(\varphi), \sqrt{1-t^2}\sin(\varphi), t)^T$ . By the surface gradient  $\nabla_{\mathbb{S}}$ , we denote the tangential component of the usual Euclidean gradient  $\nabla$ , i.e.,

$$\nabla_{\mathbb{S}} = \mathbf{e}_{\varphi} \frac{1}{\sqrt{1-t^2}} \frac{\partial}{\partial \varphi} + \mathbf{e}_t \sqrt{1-t^2} \frac{\partial}{\partial t},$$

with unit vectors  $\mathbf{e}_t = (-t\cos(\varphi), -t\sin(\varphi), \sqrt{1-t^2})^T$  and  $\mathbf{e}_{\varphi} = (-\sin(\varphi), \cos(\varphi), 0)^T$ . Moreover, the surface curl gradient

5  $L_{\mathbb{S}}$  is defined by  $L_{\mathbb{S}}f(\xi) = \xi \times \nabla_{\mathbb{S}}f(\xi)$ , where  $\times$  is the usual cross product in  $\mathbb{R}^3$ . In other words,

$$L_{\mathbb{S}} = -\mathbf{e}_{\varphi} \sqrt{1-t^2} \frac{\partial}{\partial t} + \mathbf{e}_t \frac{1}{\sqrt{1-t^2}} \frac{\partial}{\partial \varphi}.$$

Hence, we define three types of vector spherical harmonics: the radial

$$\mathbf{y}_{n,k}^{(1)} = \mathbf{e}_r Y_{n,k},$$

for degrees  $n \geq 0$  and orders  $k = -n, \dots, n$ , as well as the tangential

$$10 \quad \mathbf{y}_{n,k}^{(2)} = \sqrt{\frac{1}{n(n+1)}} \nabla_{\mathbb{S}} Y_{n,k}, \tag{4}$$

$$\mathbf{y}_{n,k}^{(3)} = \sqrt{\frac{1}{n(n+1)}} L_{\mathbb{S}} Y_{n,k}, \tag{5}$$

for degrees  $n \geq 1$  and orders  $k = -n, \dots, n$ . Note that, for convenience, we set  $\mathbf{y}_{0,0}^{(2)} = \mathbf{y}_{0,0}^{(3)} = \mathbf{0}$ . It should further be noted that the vector spherical harmonics in (4) are surface curl-free while those in (5) are surface divergence-free. In analogy to (3), we can now define the Fourier coefficients

$$15 \quad \hat{f}_{(n,k)}^{(i)} = \int_{\mathbb{S}} \mathbf{f}(\eta) \cdot \mathbf{y}_{n,k}^{(i)}(\eta) dS(\eta)$$

of square-integrable vector fields  $\mathbf{f}$  on the unit sphere.

The vector spherical harmonics from above are defined solely on the unit sphere and can, therefore, only be used for the expansion of vector-valued functions on  $\mathbb{S}$ . However, for the approximation of satellite potential field data it is necessary to have related functions that are also defined in the exterior of a sphere. For that purpose, we define the following gradients of

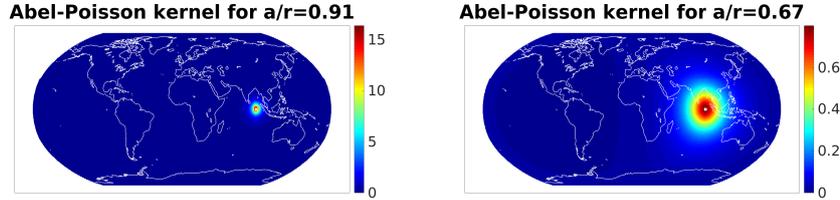
20 harmonic extensions of (scalar) spherical harmonics:

$$\mathbf{h}_{n,k}(x) = \frac{1}{r^2} \left(\frac{a}{r}\right)^n (\nabla_{\mathbb{S}} Y_{n,k}(\xi) - \xi(n+1)Y_{n,k}(\xi)), \tag{6}$$

for  $r = |x| > a$  and  $\xi = \frac{x}{|x|} \in \mathbb{S}$ , where  $a$  is the radius of a reference sphere, e.g., Earth's mean radius.

## 2.2 Vectorial Abel-Poisson Kernel

While the set of functions  $\{\mathbf{h}_{n,k}\}_{n \in \mathbb{N}_0, k = -n, \dots, n}$  from (6) is suitable for the global approximation of potential field data, we are  
 25 also interested in localized functions. One possible choice is the Abel-Poisson kernel (see, e.g., Freeden and Gerhards (2012);



**Figure 1.** The kernel  $K(r \cdot, a\eta_1)$  for  $\frac{a}{r} = 0.91$  (left) and  $\frac{a}{r} = 0.67$  (right). The fixed nodal point  $\eta_1 \in \mathbb{S}$  is marked by a white cross.

Freeden et al. (1998)). For  $x, y \in \mathbb{R}^3$ ,  $|x| > |y|$ , it is defined by

$$K(x, y) = \frac{1}{4\pi} \frac{|x|^2 - |y|^2}{|x - y|^3}.$$

That is, with unit vectors  $\xi, \eta \in \mathbb{S}$  and radii  $r > a > 0$ , we have

$$K(r\xi, a\eta) = \frac{1}{4\pi} \frac{r^2 - a^2}{(a^2 + r^2 - 2ar(\xi \cdot \eta))^{3/2}}$$

- 5 which shows that  $K$  only depends on the spherical distance between  $\xi$  and  $\eta$ , since  $|\xi - \eta|^2 = 2(1 - \xi \cdot \eta)$ . Therefore, the kernel is radially symmetric if we keep one of the variables fixed (we strictly keep the second argument fixed, here  $a\eta$ ). The degree of localization is determined by the ratio  $\frac{a}{r}$ . The closer it is to one, i.e., the smaller the difference between the radii  $a$  and  $r$ , the better is the spatial localization of  $K(r \cdot, a\eta)$  around  $\eta$ . In our case, we choose  $a$  to be the Earth's mean radius, and  $r$  is the radius of the sphere at which we evaluate the kernel. An illustration of the kernel is provided in Figure 1.

- 10 The corresponding vectorial Abel-Poisson kernel is simply defined by

$$\mathbf{k}(x, y) = \nabla_x K(x, y) = \frac{1}{4\pi} \sum_{n=0}^{\infty} \sum_{k=-n}^n Y_{n,k} \left( \frac{y}{|y|} \right) \mathbf{h}_{n,k}(x).$$

Further calculations show

$$\mathbf{k}(x, y) = \frac{1}{4\pi} \left( \frac{2}{|x - y|^3} x - 3 \frac{|x|^2 - |y|^2}{|x - y|^5} (x - y) \right). \quad (7)$$

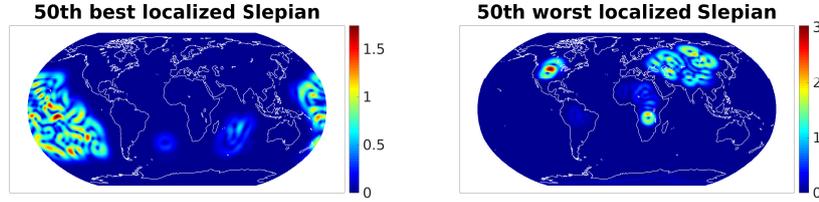
- A spatially localized alternative to  $\{\mathbf{h}_{n,k}\}_{n \in \mathbb{N}_0, k = -n, \dots, n}$  could then be defined by the set of functions  $\{\mathbf{k}(\cdot, a\eta_i)\}_{i=1, \dots, M}$ ,  
 15 where  $\eta_1, \dots, \eta_M \in \mathbb{S}$  is a fixed set of adequately distributed nodal points.

### 2.3 Spherical Slepian Functions

- While the localization of Abel-Poisson kernels is of radially symmetric nature, one is often interested in regions of more complex geometry, e.g., continents or oceans. Spherical Slepian functions, for instance, provide an orthonormal system of functions that can reflect localization in such general predefined regions  $\Gamma \subset \mathbb{S}$  (see, e.g., Plattner and Simons (2015, 2017a);  
 20 Simons et al. (2006); Simons and Plattner (2015) for details).

Specifically, the function  $\mathbf{f}$  showing the best localization in  $\Gamma$ , is the one that maximizes the energy ratio

$$\lambda_{\Gamma}(\mathbf{f}) = \frac{\int_{\Gamma} |\mathbf{f}(\eta)|^2 dS(\eta)}{\int_{\mathbb{S}} |\mathbf{f}(\eta)|^2 dS(\eta)}. \quad (8)$$



**Figure 2.** Absolute value of the vectorial Slepian function  $\mathbf{g}_{50}^{(3)}$  with 50th-best localization over the oceans (left) and  $\mathbf{g}_{1630}^{(3)}$  with the 50th-worst localization over the oceans (right), for bandlimit  $N = 40$ .

Let us now assume that  $\mathbf{g}^{(i)}$  is a bandlimited vectorial function of type  $i$  with bandlimit  $N$ , i.e., it can be expanded as

$$\mathbf{g}^{(i)} = \sum_{n=0}^N \sum_{k=-n}^n \hat{g}_{(n,k)}^{(i)} \mathbf{y}_{n,k}^{(i)}.$$

Further, the matrix  $\mathbf{P} = (P_{(n,k),(m,j)}) \in \mathbb{R}^{(N+1)^2 \times (N+1)^2}$  contains (properly sorted<sup>1</sup>) all of the appearing inner products

$$P_{(n,k),(m,j)} = \int_{\Gamma} \mathbf{y}_{n,k}^{(i)}(\eta) \cdot \mathbf{y}_{m,j}^{(i)}(\eta) dS(\eta)$$

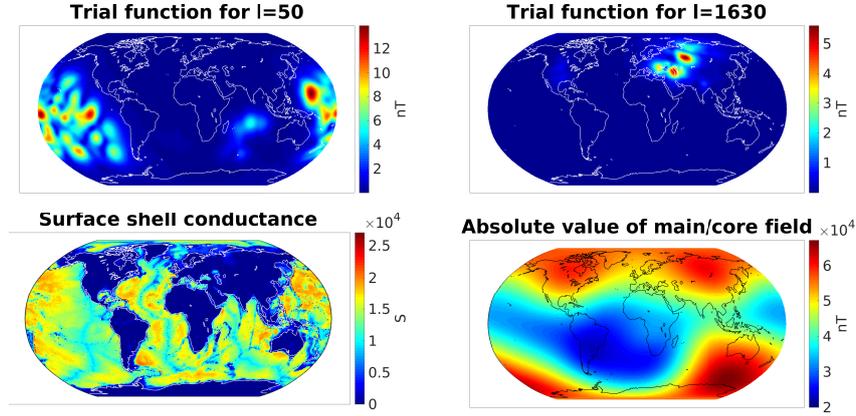
- 5 and  $\hat{\mathbf{g}} = (\hat{g}_{(n,k)}^{(i)})^T \in \mathbb{R}^{(N+1)^2}$  with  $n = 0, \dots, N$  and  $k = -n, \dots, n$ . If we now restrict ourselves to  $L^2(\mathbb{S})$ -normalized functions  $\mathbf{g}^{(i)}$ , one obtains the simple expression  $\lambda_{\Gamma}(\mathbf{g}^{(i)}) = \hat{\mathbf{g}}^T \mathbf{P} \hat{\mathbf{g}}$ . Eventually, the maximization of the energy ratio (8) leads to the eigenvalue problem

$$\mathbf{P} \hat{\mathbf{g}} = \lambda \hat{\mathbf{g}}.$$

The eigenvalues  $\lambda_{\ell}$  are the possible energy ratios and the corresponding eigenvectors  $\hat{\mathbf{g}}_{\ell}$  contain the Fourier coefficients of  
 10 bandlimited functions  $\mathbf{g}_{\ell}^{(i)}$  attaining the energy ratio  $\lambda_{\ell} = \lambda_{\Gamma}(\mathbf{g}_{\ell}^{(i)})$ . The set of functions  $\{\mathbf{g}_{\ell}^{(i)}\}_{\ell=1, \dots, (N+1)^2}$  is ordered such that  $1 \geq \lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_{(N+1)^2} \geq 0$ .

In typical scenarios, it turns out that the eigenvalues are clustered close to one and close to zero. Those eigenvalues  $\lambda_1, \dots, \lambda_L$  which are closer to one determine the subset  $\{\mathbf{g}_{\ell}^{(i)}\}_{\ell=1, \dots, L}$  of well-localized Slepian functions that should be used for approx-  
 15 (2017b). For our situation, where  $\Gamma$  denotes the region of (a spherical) Earth which is covered by oceans, an illustration is provided in Figure 2.

<sup>1</sup>typically, the order is  $(0,0), (1,-1), (1,0), (1,1), (2,-2), \dots$  such that the pair  $(n,k)$  is at position  $n^2 + n + k + 1$  in a row or column.



**Figure 3.** Absolute value of the trial function  $\mathbf{B}_{50}^{\text{re}}$  corresponding to  $\mathbf{u} = \mathbf{g}_{50}^{(3)}$  at time  $t = 0$  (top left) and the trial function  $\mathbf{B}_{1630}^{\text{re}}$  corresponding to  $\mathbf{u} = \mathbf{g}_{1630}^{(3)}$  at time  $t = 0$  (top right). Bottom row: Models of surface shell conductance on  $\mathbb{S}_a$  and absolute value of  $\mathbf{B}_{\text{main}}$  on  $\mathbb{S}_a$  used for the generation of the trial functions.

## 2.4 Physics Based Trial Functions

We start with the time-harmonic Maxwell equations

$$\nabla \times \mathbf{B}_{\text{oc}} = \mu_0 \sigma (\mathbf{E} + \mathbf{u} \times \mathbf{B}_{\text{main}}),$$

$$\nabla \times \mathbf{E} = i\omega \mathbf{B}_{\text{oc}},$$

$$5 \quad \nabla \cdot \mathbf{B}_{\text{oc}} = 0,$$

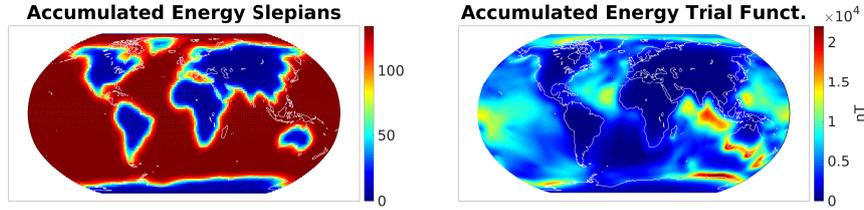
(9)

as already indicated in (1). For simplicity, we assume a 1-D (only radially varying) conductivity model for  $\sigma$  within the ball  $\mathbb{B}_a$  and at the surface  $\mathbb{S}_a$  we allow a laterally varying conductivity (cf. the bottom left image in Figure 3 for an illustration). Further,  $\mathbf{u}$  is supposed to denote a depth-integrated velocity field that is restricted to  $\mathbb{S}_a$  (in fact, within the numerical framework of the X3DG solver, we assume a constant ocean depth of 1km, with  $\mathbf{u}$  being tangential to the sphere and independent of the  
 10 depth). Since we are mainly interested in tidal velocity fields, it is a reasonable assumption that  $\mathbf{u}$  is surface divergence-free for most parts of the oceans. The latter means that  $\mathbf{u}$  can be expanded in terms of vector spherical harmonics or vectorial Slepian functions of type 3, i.e.,  $\mathbf{y}_{n,k}^{(3)}$  or  $\mathbf{g}_\ell^{(3)}$ , respectively.

For the generation of the tailored trial functions  $\{\mathbf{B}_\ell\}_{\ell=1,\dots,L}$ , we, therefore, substitute  $\mathbf{u}$  by a set of surface divergence-free functions  $\{\mathbf{u}_\ell\}_{\ell=1,\dots,L}$  that reflect spatial localization within the oceans. More precisely, we choose

$$15 \quad \mathbf{u}_\ell = \mathbf{g}_\ell^{(3)},$$

where  $\mathbf{g}_\ell^{(3)}$  is the  $\ell$ -th best localized vectorial Slepian function of type 3. The corresponding solution  $\mathbf{B}_{\text{oc}}$  of (9) within this setup then provides an auxiliary function  $\tilde{\mathbf{B}}_\ell$ . It should be noted that in order to obtain Maxwell's equation in the time-harmonic form (9), one has to apply a Fourier transform in time. Therefore, for the actual trial function  $\mathbf{B}_\ell$ , we have to invert the Fourier



**Figure 4.** Cumulative energy for  $\{\mathbf{u}_\ell\}_{\ell=1,\dots,L}$  (left) and  $\{\mathbf{B}_\ell^{\text{re}}, \mathbf{B}_\ell^{\text{im}}\}_{\ell=1,\dots,L}$  (right), with  $L = 1200$ .

transform and get

$$\mathbf{B}_\ell(x, t) = e^{-i\omega t} \tilde{\mathbf{B}}_\ell(x), \quad x \in \mathbb{R}^3, t \in \mathbb{R}.$$

For technical reasons, we choose to work in a real-valued framework, so that the real and imaginary part of  $\mathbf{B}_\ell$  each yield a trial function

$$5 \quad \mathbf{B}_\ell^{\text{re}}(x, t) = \cos(\omega t) \tilde{\mathbf{B}}_\ell^{\text{re}}(x) + \sin(\omega t) \tilde{\mathbf{B}}_\ell^{\text{im}}(x), \quad (10)$$

$$\mathbf{B}_\ell^{\text{im}}(x, t) = \sin(\omega t) \tilde{\mathbf{B}}_\ell^{\text{re}}(x) - \cos(\omega t) \tilde{\mathbf{B}}_\ell^{\text{im}}(x). \quad (11)$$

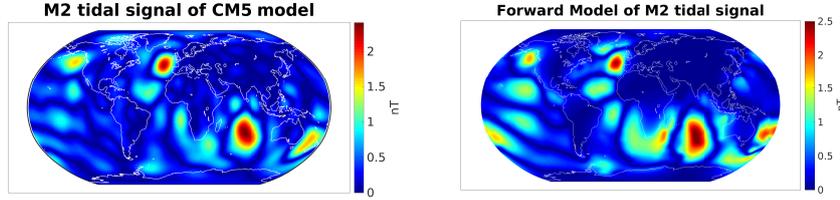
Thus, each choice of  $\mathbf{u}_\ell$  yields two functions  $\mathbf{B}_\ell^{\text{re}}$  and  $\mathbf{B}_\ell^{\text{im}}$  that reflect the temporal periodicity of the tidal magnetic field as well as the spatial localization of the sources within the oceans. An illustration for the M2 tide with  $\omega = \frac{2\pi}{12.42h}$  can be found in Figure 3. For the computation of the  $\tilde{\mathbf{B}}_\ell$  as solutions of (9), we have used the X3DG solver from Kuvshinov (2008).

- 10 Figure 4 shows the cumulative energy  $\sum_{\ell=1}^L |\mathbf{u}_\ell(\xi)|^2$ , for  $\xi \in \mathbb{S}$ , of the underlying functions  $\mathbf{u}_\ell$  that describe the velocity field and the cumulative energy  $\sum_{\ell=1}^L |\mathbf{B}_\ell^{\text{re}}(x, t)|^2 + |\mathbf{B}_\ell^{\text{im}}(x, t)|^2$ , for  $x \in \mathbb{S}_r$  with  $r = a + 300\text{km}$  and time  $t = 0$ , of the corresponding trial functions. In both cases, one can clearly see the spatial localization over the oceans. However, the cumulative energy of the trial functions additionally reflects the influence of the conductivity  $\sigma$  and the main/core magnetic field  $\mathbf{B}_{\text{main}}$  indicated in Figure 3.

### 15 3 Examples

For our experiments we rely on the CM5 geomagnetic field model (cf. Sabaka et al. (2015)) and a forward model based on the M2 depth-integrated tidal velocity field from TPX08-ATLAS that has also been used in Kuvshinov (2008). The contribution of CM5 that is due to the oceanic M2 tide is given as an expansion in terms of spherical harmonics up to degree 18, we denote it as  $\mathbf{B}_{\text{oc}}^{\text{CM5}}$  for the remainder of this section and sample it at  $M = 250,000$  points which are taken from actual Swarm satellite tracks.

- 20 The forward model has been computed via the X3DG solver based on the surface conductance and the main/core magnetic field model indicated in the bottom row of Figure 3 and a depth-integrated M2 tidal velocity field from TPX08-ATLAS. We denote it by  $\mathbf{B}_{\text{oc}}^{\text{X3DG}}$  and evaluate it on the same point grid as before. These samples are used as input data  $\mathbf{b} \in \mathbb{R}^M$  for the Regularized (Orthogonal) Functional Matching Pursuit, which works iteratively as indicated in (2). In the following, we want to illustrate



**Figure 5.** Absolute value of the radial part of the tidal model  $\mathbf{B}_{\text{oc}}^{\text{CM5}}$  as well as the forward model  $\mathbf{B}_{\text{oc}}^{\text{X3DG}}$ .

the influence of the choice of different function systems (i.e., the choice of different dictionaries  $\mathcal{D}$ ) on the approximation of  $\mathbf{B}_{\text{oc}}^{\text{CM5}}$  and  $\mathbf{B}_{\text{oc}}^{\text{X3DG}}$ . For that purpose, we choose three different dictionaries: the spherical harmonic based

$$\mathcal{D}_1 = \{\cos(\omega t)\mathbf{h}_{n,k}(x), \sin(\omega t)\mathbf{h}_{n,k}(x)\}_{n=0,\dots,20, k=-n,\dots,n},$$

with  $\omega = \frac{2\pi}{12.42h}$  and the functions  $\mathbf{h}_{n,k}$  from (6); the Abel-Poisson kernel based

$$5 \quad \mathcal{D}_2 = \{\cos(\omega t)\mathbf{k}(x, a\eta_i), \sin(\omega t)\mathbf{k}(x, a\eta_i)\}_{i=1,\dots,M_p},$$

where  $a = 6371.2\text{km}$ ,  $\{\eta_i\}_{i=1,\dots,M_p}$  is a Reuter grid on  $\mathbb{S}$  with  $M_p = 6201$  nearly equally distributed points (see, e.g., Michel (2013), p. 137), and  $\mathbf{k}$  given as in (7); and

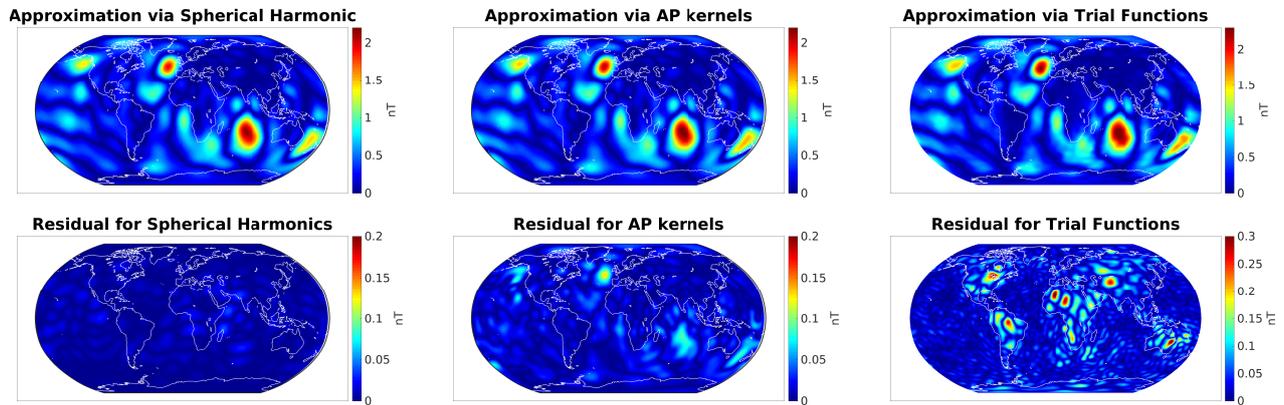
$$\mathcal{D}_3 = \{\mathbf{B}_\ell^{\text{re}}(x, t), \mathbf{B}_\ell^{\text{im}}(x, t)\}_{\ell=1,\dots,1200},$$

with  $\mathbf{B}_\ell^{\text{re}}$  and  $\mathbf{B}_\ell^{\text{im}}$  the physics based trial functions from (10) and (11).

10 The actual signals that we want to approximate are indicated in Figure 5. The approximations  $\bar{\mathbf{B}}_N$  of  $\mathbf{B}_{\text{oc}}^{\text{CM5}}$  together with the residuals  $|\bar{\mathbf{B}}_N - \mathbf{B}_{\text{oc}}^{\text{CM5}}|$  for each of the three dictionaries above are shown in Figure 6. Whereas, the respective approximations of  $\mathbf{B}_{\text{oc}}^{\text{X3DG}}$  and corresponding residuals  $|\bar{\mathbf{B}}_N - \mathbf{B}_{\text{oc}}^{\text{X3DG}}|$  are displayed in Figure 7.

In the case of  $\mathbf{B}_{\text{oc}}^{\text{CM5}}$  as the underlying signal, it can be seen in Figure 6 that the dictionary  $\mathcal{D}_1$  yields the overall best approximation which, however, is not surprising since we try to fit a spherical harmonic based model with a spherical harmonic based dictionary. The result for dictionary  $\mathcal{D}_2$  shows a more localized pattern in the residual, as is expected for the use of Abel-Poisson kernels. However, the maxima in the residual are not correlated to specific continental or oceanic structures but they mainly coincide with the maxima of the original signal  $\mathbf{B}_{\text{oc}}^{\text{CM5}}$ . The situation for dictionary  $\mathcal{D}_3$  of physics based trial functions is different. The agreement of the approximation with  $\mathbf{B}_{\text{oc}}^{\text{CM5}}$  is good over the oceans but significant deviations exist over the continents. The latter could be an indication that the original model  $\mathbf{B}_{\text{oc}}^{\text{CM5}}$  contains contributions over the continents whose physical origin is not due to induction by oceanic tides. Some smaller deviations over oceanic areas exist around Southern Africa and East of Australia. Since we are dealing with the approximation of a low-degree (up to degree 18) spherical harmonic based M2 tidal magnetic field model by localized trial functions, one cannot reliably say if the latter deviations are artifacts from the approximation procedure or if they have a physical origin. However, those are areas with a shallower ocean topography, so that the assumption of surface divergence-free depth integrated tidal velocities (which we made for our choice of the underlying  $\mathbf{u}_\ell$ ) and the assumption of a constant ocean depth (we chose a depth of 1km for the generation of the  $\tilde{\mathbf{B}}_\ell$ )

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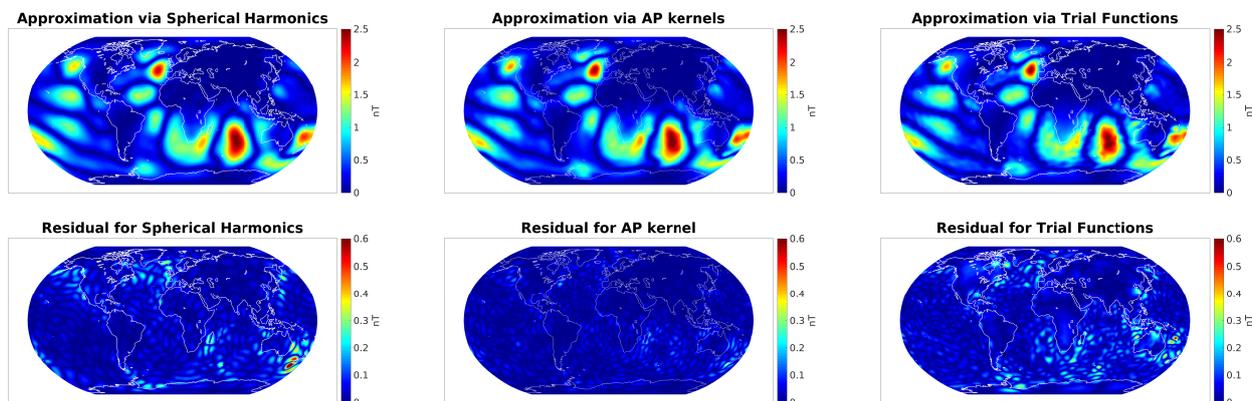
**Figure 6.** Absolute value of the radial part of approximations of  $B_{oc}^{CM5}$  based on dictionary  $\mathcal{D}_1$  (top left), dictionary  $\mathcal{D}_2$  (top center), and dictionary  $\mathcal{D}_3$  (top right), as well as the corresponding residuals with respect to  $B_{oc}^{CM5}$  (bottom row)

via the X3DG solver) might not be accurate in these areas. Nonetheless, the residuals over the continents show that the use of the adapted trial functions might eventually deliver improved tidal magnetic field models that correct unrealistic continental contributions without disregarding continental areas entirely.

The residuals of the approximations of the forward model  $B_{oc}^{X3DG}$  in Figure 7, on the other hand, indicate that the quality of the approximations does not vary too much (at least on scales that are relevant for satellite data approximation) among the three tested function systems. This is mainly due to the fact that the input model  $B_{oc}^{X3DG}$  already reflects certain spatial localization properties over the oceans. In such a scenario (if additionally solely interested in the approximation of the signal and not the underlying velocity fields) it would, therefore, not be necessary to use the adapted trial functions that we have introduced. The crucial point, however, is that satellite data typically contains undesired contributions over the continents that are not due to ocean tide generated magnetic fields. Therefore, we propose the use of trial functions like those described in Section 2.4 that already reflect certain spatial properties of ocean tide induced fields (as suggested by the results in Figure 6).

#### 4 Conclusions

The main goal of this paper is to study the errors that are made by the approximation of tidal magnetic fields by use of different sets of trial functions. While, e.g., Saynisch et al. (2018) compared forward models for the M2 tidal magnetic field based on different tidal models, we aim at illustrating the effect of the involved trial functions on the possible extraction of the tidal magnetic field from satellite data in the first place. The indicated residuals for the synthetic examples show that the use of the presented adapted physics based trial functions could have a detectable effect for the extraction of such signals in satellite data. These trial functions reflect the underlying physics in the sense that they satisfy the time harmonic Maxwell equations and that they include knowledge of the ambient core magnetic field and the Earth's conductivity, but they are not designed to rely on



**Figure 7.** Absolute value of the radial part of approximations of  $\mathbf{B}_{\text{oc}}^{\text{X3DG}}$  based on dictionary  $\mathcal{D}_1$  (top left), dictionary  $\mathcal{D}_2$  (top center), and dictionary  $\mathcal{D}_3$  (top right), as well as the corresponding residuals with respect to  $\mathbf{B}_{\text{oc}}^{\text{X3DG}}$  (bottom row)

detailed oceanographic models. The latter can be advantageous since the extracted magnetic field induced by ocean tides might eventually be used to make inferences on such models.

*Competing interests.* None

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